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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031

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O P TRANSMITTAL			Application Number	er	10/664,697	
			Filing Date		09/16/2003	
T WOO O D CORD	AUG 0 8 2005 SFORM			ntor	Cheng Li	
(to be used for a	A LEW TOWN				1615	
(to be used for a	all correspondence after	initial filing)	Examiner Name		Unassigned	
Total Number of P	ages in This Submission	15	Attorney Docket N	lumber	4003.13	
		ENCLOSI	URES (Check all	that apply)		
Extension of T Express Aband Information Di Certified Copy Document(s) Response to Incomplete A	tached Reply Final svits/declaration(s) Time Request donment Request sclosure Statement y of Priority Missing Parts/	Petition to Provision Power of Change of Terminal Request CD, Num	p-related Papers o Convert to a lal Application Attorney, Revocation of Correspondence Add Disclaimer for Refund her of CD(s) Landscape Table on C	_	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): Transmittal of Information Disclosure Statement; BC Reference; BD Reference; Postcard	
Firm Name	SIGNATUR PETERS, VERNY, J		NT, ATTORNEY, O I & ASTON, LLP	R AGENT	·	
Signature	Signature Horned Un Vite					
Printed name Howard M. Peters						
Date 08/04/2005			Reg. No.	29,202		
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Typed or printed nam	1 1			Date	08/04/2005	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

No. 23308

Ser. No. 10/664,697

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

:

Cheng Li, et al.

Art Unit

: 1615

Number

10/664,697

Examiner: Unassigned

Filed

09/16/2003

Title

: Multiple-Arm Peptide Compounds,

Methods of Manufacture and Use in

Therapy

Confirmation

Customer

Number

5503

Number : 23308

CERTIFICATE OF MAILING or FACSIMILE TRANSMISSION UNDER 37 C.F.R. § 1.8(a)(1)

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria Virginia, 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Dated: 08/04/2005

Printed Name: Howard M. Peters

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are applicable.

Attorney Docket No. 4003.13 (HMP) Customer No. 23308

PATENT Ser. No. 10/664,697

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in the Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 16-1331.

This submission is accompanied by a Transmittal Form, one Form 1449, BC reference, BD reference and a postcard. In accordance with M.P.E.P \$609.III, Minimum Requirements For an Information Disclosure Statement, copies of U.S. patents cited herein are not included since this application was filed after June 23, 2003. Also, no copy of any cited U.S. patent application is included, in accordance with USPTO Notice dated September 21, 2004, http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/rule98waiverd.pdf.

These references were cited in the International application PCT/US04/29951.

Respectfully submitted,

Date: 08/04/2005

Howard M. Peters, Reg. No. 29,205

PETERS, VERNY, JONES, SCHMITT & ASTON, LLP

Tel: (650) 324-1677 Fax: (650) 324-1678 Approved for use through 10/31/2002. OMB 0651-0031
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INFORMATION DISCLOSURE STATEMENT BY APPRICANT

Application Number 10/664,697

Filing Date 09/16/2003

First Named Inventor Cheng Li

Group Art Unit 1615

Examiner Name Unassigned

(use as many sheets as necessary)

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 Attorney Docket Number
 4003.13

	U.S. PATENT DOCUMENTS						
Examiner Cite No.1	Cito	Document Number	Publication Date/	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant		
	Number - Kind Code ² (if known)	Issue Date MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear			
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FOREIGN PATENT DOCUMENTS						
Examiner Cite Initials* No.1	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant		
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T ⁶

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
	вс	NOMIZU, M., et al., "Multimeric forms of the active laminin peptide YIGSR enhance the inhibition of tumor growth and metastasis," Peptide Chemistry, pp 249-252 (1993).		
	BD	POSNETT, D., et al., "A novel method for producing anti-peptide antibodies," The Journal of Biological Chemistry, Vol. 263, No. 4, pp 1719-1725 (Feb 1998).		

Examiner Signature	Date Considered	

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.